

08-11-00

HAVERSOCK & OWENS LLP
260 Sheridan Avenue, Suite 420
Palo Alto, California 94306
(650) 833-0160

A

BOX NEW PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231



NEW APPLICATION TRANSMITTAL

Attorney Docket No.: DERN-00101

Sir:

Transmitted herewith for filing is the patent application of Inventor: Howard Dernehl et al.

Title: WEB BASED REFERRALS WITH REWARD INCENTIVE

CERTIFICATION UNDER 37 CFR § 1.10

I hereby certify that this New Application and the documents referred to as enclosed herein are being deposited with the United States Postal Service on this date, August 9, 2000, in an envelope bearing "Express Mail Post Office To Addressee" Mailing Label Number EL703216521US addressed to: PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231.

Carleen O'Brien
(Name of Person Mailing Paper)

Signature

Enclosed are:

1. The papers required for filing date under CFR § 1.53(b):

<u>52</u>	Pages of Specification (including claims);	<u>10</u>	Sheet(s) of Drawings.
		<u>X</u>	Formal
		<u>X</u>	Informal
2. X Declaration or Oath (combined with Power of Attorney) unexecuted
3. X Power of Attorney (combined with Declaration) unexecuted
4. — Assignment of the Invention to (including Form PTO-1595).
5. Fee Calculation

—	Amendment changing number of claims or deleting multiple dependencies is enclosed.
---	--

CLAIMS AS FILED

	Number Filed	Number Extra	Rate	Basic Fee
				\$690.00
Total Claims	49 - 20 =	29	\$18.00	522.00
Independent Claims	2 - 3 =	0	\$78.00	0.00
Multiple Dependent claim(s), if any			\$260.00	
			Filing Fee Calculation	\$1,212.00

6. X Verified Statement (Declaration) Claiming Small Entity Status

50% Filing Fee Reduction (if applicable)	\$606.00
--	----------
7. Other Fees

— Assignment Recordation Fee	
— Other	0.00
TOTAL FEES ENCLOSED	\$606.00
8. Payment of Fees

<u>X</u> Check in the amount of \$606.00 enclosed.
--
9. X Authorization to Charge Additional Fees

The Commissioner is hereby authorized to charge any additional fees (or credit any overpayment) associated with this communication and which may be required under 37 CFR § 1.16 or § 1.17 to Account No. 08-1275. An originally executed duplicate of this transmittal is enclosed for this purpose.
10. — Information Disclosure Statement
11. X Return Receipt Postcard

Dated: August 9, 2000

By: Jonathan O. Owens
Name: Jonathan O. Owens
Registration No.: 37,902



PATENT

Attorney Docket No.: DERN-00101

Applicant Or Patentee: Howard Dernehl et al.
 Serial or Patent No.:
 Filed or Issued:
 Entitled: WEB BASED REFERRALS WITH REWARD INCENTIVE

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
 STATUS (37 CFR § 1.9(f) - INDEPENDENT INVENTOR**

As the below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR § 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled WEB BASED REFERRALS WITH REWARD INCENTIVE described in

- ☒ [X] the specification filed herewith
☐ [] Application Serial No. , filed
☐ [] Patent No. , issued

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR § 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR § 1.9(d) or a nonprofit organization under 37 CFR § 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ [X] no such person, concern, or organization
☐ [] persons, concerns or organizations listed below*

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR § 1.27)

Full Name: _____

Address: _____
☐ [] Individual ☐ [] Small Business Concern ☐ [] Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR § 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing: Howard Dernehl

Signature: _____ Dated: _____

Name of Person Signing: James E. Kleckner

Signature: _____ Dated: _____